

+

NOV 06 2000

+

#3

Complete if Known

Application Number	09/676,637
Filing Date	9/29/2000
First Named Inventor	BOYLE, WM.
Group Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	K35A0675

(use as many sheets as necessary)

Sheet	1	of	1
-------	---	----	---

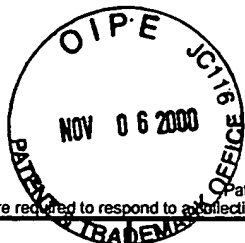
[illegible][illegible]

3 MAY 84

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

+

#3

Please type a plus sign (+) inside this box → ☐PTO/SB/08B (10-96)
Approved for use through 10/31/99. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

+

Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known			
		Application Number	09/676,637		
		Filing Date	9/29/2000		
		First Named Inventor	BOYLE, WM.		
		Group Art Unit	Unknown		
		Examiner Name	Unknown		
Sheet		of		Attorney Docket Number	K35A0675

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		BENNET YEE, J.D. TYGAR, "Secure Coprocessors in Electronic Commerce Applications", First USENIX Workshop on Electronic Commerce, July 11-12, 1995, 155-170.	
		Howard Gobioff, "Security for a High Performance Commodity Storage Subsystem", School of Computer Science, Carnegie Mellon University, CMU-CS-99-160, July 1999, pp. 171-178.	
		RODNEY VAN METER, STEVE HOTZ, GREGORY FINN, "Derived Virtual Devices: A Secure Distributed File System Mechanism", In Proceedings of the Fifth NASA Goddard Space Flight Center Conference on Mass Storage Systems and Technologies, September 1996.	
		GARTH A. GIBSON, DAVID F. NAGLE, KHALIL AMIRI, FAY W. CHANG, HOWARD GOBIOFF, ERIK RIEDEL, DAVID ROCHBERG, AND JIM ZELENKA, "Filesystems for Network-Attached Secure Disks", July 1997, 1-18, CMU-CS-97-118, School of Computer Science, Carnegie Mellon University, Pittsburgh, Pennsylvania	
		HOWARD GOBIOFF, GARTH GIBSON, AND DOUG TYGAR, "Security for Network Attached Storage Devices", October 23, 1997, 1-18, CMU-CS-97-185, School of Computer Science, Carnegie Mellon University, Pittsburgh, PA 15213.	
		"Digital Transmission License Agreement", www.dtcp.com.	
		"Digital Transmission Content Protection Specification", Volume 1, Revision 1.0, April 12, 1999, www.dtcp.com.	
		"5C Digital Transmission Content Protection White Paper", Revision 1.0, July 14, 1998, www.dtcp.com.	
		Steve Grossman, "Two-Chip Set Safeguards Digital Video Content", Electronic Design, June 12, 2000, pp. 68-72.	

Examiner Signature		Date Considered	3 MAY 04
-----------------------	--	--------------------	----------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

+



Approved for use through 10/31/2002 OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	09/676,637
				Filing Date	09/30/2000
				First Named Inventor	WILLIAM B. BOYLE
				Art Unit	2131
				Examiner Name	UNKNOWN
				Attorney Docket Number	K35A0675
Sheet	1	of	1		

[illegible][illegible]

Examiner Signature	<u>2</u>	Date Considered	<u>3 MAY 04</u>
-----------------------	----------	--------------------	-----------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspdc.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.